

Insights, News & Events

EMPLOYERS GET READY: YOUR INVESTMENT WORKFORCE WILL BE FIDUCIARIES IN 2020

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As credit unions continue to expand into the investment brokerage business, it is essential that employers in this industry recognize and plan for the impending changes that will recast some of their employees as fiduciaries. In their article for *Credit Union Times*, Financial Services Industry Team co-chair, David Erb, and Denver Regional Managing Partner, Mike Greco, explain forthcoming Certified Financial Planner Standards of Conduct that will impact registered representatives who will soon be subject to Regulation Best Interest. David and Mike caution that with these new obligations, are potentially higher risks of liability and financial and reputational exposure for credit unions.

To read the full article, visit [Credit Union Times](#).

Related People



David W. Erb

Partner

410.857.1399



Michael R. Greco

Regional Managing Partner

303.218.3655

Industry Focus

Financial Services